

Date: 27.05.2022

**To,
BSE Limited,
Department of Corporate Services
25th Floor, P.J. Towers
Dalal Street,
Mumbai – 400 001**

Scrip Code:- 531600

Re: Submission of Annual Secretarial Compliance Report for the financial year ended 31st March, 2022 under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015

Respected Sir/ Madam,

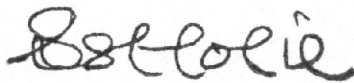
With reference to the above captioned subject matter and pursuant to Regulation 24 A of SEBI (LODR) Regulation, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019; we enclose herewith Annual Secretarial Compliance Report for the Financial year ended 31st March, 2022

Kindly take the same on your record & oblige.

Thanking you

Your Sincerely,

**For and on behalf of Board of Director of
Gogia Capital Services Limited**



**Satish Gogia
Managing Director
DIN: 00932987**





Richa Dhamija and Company

Company Secretary

C-553, Sector-19, Noida-201301

Mobile No: 9911792770

E mail Id: hricha.dhamija@gmail.com

**Secretarial compliance report of Gogia Capital Services
Limited for the year ended 31st March, 2022**

To,
The Members of,
GOGIA CAPITAL SERVICES LIMITED,
The Capital Court, 6th Floor, Olof Palme Marg Munirka,
New Delhi 10067

I, Richa, Dhamija, a Practicing Company Secretary, holding Certificate of Practice No. 12099, have examined:

- (a) All the documents and records made available to us and explanation provided by **GOGIA CAPITAL SERVICES LIMITED (L74899DL1994PLC059674)** ("the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification

For the year ended 31.03.2022 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;

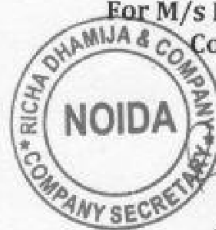
- f) Securities and Exchange Board of India (Issue and Listing of Municipal Debt Securities) Regulations, 2015;
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder from time to time;

And based on the above examination, I/We hereby report that, during the Review Period;

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.
- c) No action/(s) taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder;
- d) The company has taken the following action to comply with the observations made in previous report:-

| Sr.no. | Observations of the Practicing Company Secretary in previous Report | Observations made in the secretarial compliance report for the year 2020-2021 | Action Taken by the listed entity | Comments of the practicing Company Secretary on the action taken by the Listed entity |
|--------|---|---|-----------------------------------|---|
| 1. | The company has not followed Regulation 46 of SEBI (LODR) Regulation 2015. The management is saying that they will at earliest update the website of the company. | Company has partially complied with this regulation during the financial year 2020-21 | Updation is under process | I continuously asking about the compliance |

For M/s Richa Dhamija and Company
Company Secretary



CS Richa Dhamija
M. No. F9776
CP No. 12099

UDIN:-F009776D000325729

Date: 16.05.2022
Place: Noida